### BUREAU OF INDIAN STANDARDS (CENTRAL MARKS DEPARTMENT - I)

Our Ref: CMD-I/2:17:4 01 November 2024

Subject: Guidelines for Change in Scope of Licence (CSoL) and special situations as per the conformity assessment Scheme – X of Schedule – II of the BIS (Conformity Assessment) Regulations, 2018

This document stipulates the guidelines for change in scope of licence (CSoL), its procedures and any special situations encountered during the operation of licence. These are to be read in conjunction with the BIS Act 2016 and Rules, Regulations framed thereunder. In particular, the provisions for CSoL are addressed in Regulation 9 and Paragraph 10 of Scheme - X of Schedule - II of the BIS (Conformity Assessment) Regulations, 2018, as amended from time to time. Any situation, in general, not covered in these guidelines are to be dealt as per the provisions of the Act, Rules and Regulations by the Regional Offices (ROs) and Branch Offices (BOs).

# Application for CSoL

1. An application for change in scope of licence shall be made as per *Annexure-I* along with requisite fee and relevant documents.

#### General Principles for CSoL

- 2. The scope given in the schedule of licence covers types, sizes, grades, varieties, etc. for which licence is granted by the Bureau to licensee to use standard mark for the product manufactured in accordance with relevant specified requirement(s) mentioned in the schedule of licence. The change in scope of licence shall be endorsed as per *Annexure-II*.
- 3. The provisions provided under grant of licence guidelines and all remaining relevant procedures regarding technical file(s)/ product compliance report(s)/ undertakings/ inspection visit / raw material etc. are to be followed (unless otherwise specified to deviate here in this document).

# Change of scope (with or without inspection visit)

**4.** (i) If the application for change in scope of licence is accompanied with the technical file covering all aspects, including compliance report of product having complete test report issued by third party laboratory(ies), then based on desk assessment of the technical file the case may be processed for change in scope of licence.

- (ii) In-principally, as far as feasible, the manufacturer shall submit product compliance report in the form of test report(s) issued by third party laboratory(ies), especially for the products where third party laboratory(ies) are available.
- (iii) In the event of submission of partial technical file (including compliance report of product), the BO may seek clarification from the manufacturer and take decision for further processing based on reply from the manufacturer. The manufacturer shall furnish remaining details of the technical file (including compliance report of product).
- (iv) In the event of submission of product compliance report having test report(s) issued by laboratory(ies) other than third party laboratory(ies), the applicable parameters can be witnessed through an inspection visit. The applicant may request for witnessing of these applicable tests by the certification officer during inspection visit, subject to:
  - (a) Facilitating witnessing of applicable tests in the factory premises or any other site including sub-contracted test facilities
  - **(b)** Payment of inspection fee for the visit
  - (c) Availability of sufficient material for carrying out the applicable tests

# Inspection visit, where required

5. Depending upon the product category and/or feasibility about the number of mandays required for witnessing the applicable test(s), the Head (BO) may decide to depute the certification officer(s) for inspection visit. The Head (BO) shall also decide the number of mandays required for inspection visit taking into account nature, complexity of product and time required to witness the test(s).

#### **Deficiencies**

- **6. (i)** In case of any deficiencies observed in the application for change in scope of licence, the same shall be communicated to the manufacturer for providing information within 21 days. This communication shall include that in case reply is not received within 21 days or reply received is incomplete, the same shall be treated as rejection notice (Template enclosed as *Annexure-III*).
  - (ii) The decision on rejection shall be taken based on the merit of the case.
  - (iii) In case, the application for change in scope of licence is rejected, the same shall be communicated to the manufacturer. (Template enclosed as *Annexure-IV*)

# Reduction in scope of licence

- 7. (i) If at any time, there is reason to reduce the scope of licence, a notice of one month shall be issued to the manufacturer. (Template enclosed as *Annexure-V*)
  - (ii) The change in scope of licence shall be informed (Template enclosed as *Annexure-VI*) and endorsed as per *Annexure-II*.
  - (iii) The competent authority shall pass speaking orders for the decision taken.

## Special situations

- **8.** For any special situation arising during the operation of licence with regard to change of name, management, ownership etc., appropriate details with requisite documents as required at the time of grant of licence shall be collected. Such changes shall be duly endorsed in the licence. For guidance, following templates are enclosed
  - (i) Change in name of licensee; Annexure-VII
  - (ii) Change in specified requirement(s); Annexure-VIII

#### Fees

- 9. The fees for review of technical file for change in scope of licence is rupees twenty thousand per technical file. The fees for inspection, for any site as applicable, is rupees twenty thousand per manday. For latest fees structure, amount and applicable concession, see the notifications available on BIS website under following path:
  - https://www.bis.gov.in/>> Conformity Assessment >> Scheme-X Certification

# Product specific guidelines

**10.** In addition to this document, any product specific guidelines issued by CMDs shall be followed.

# Annexure-I Application for change in scope of licence

Head Brand Bureau of Indian Standa			
Dear Sir/Madam,			
I/we, carrying	on business at		(Full factory and
by the Bureau under B thereunder, as amended	or change in scope as detailed beforeau of Indian Standards, 2016 from time to time.	low for licence no	granted
2. Change in scope	e desired:	1	
Product	Specified requirements	Whether, extension or reduction	Technical file enclosed (Yes or No)
3. I/We have made towards the	de the payment of ₹ne above application.	vide receip	t No dated
	Signature Date Name Designation		
		d on behalf of	

Note: Attach separate sheet, if required

### Annexure-II

Attachment to licence no. .....

Licence no.	Name of the licensee with the factory address	Name of the product	Specified requirements

Endorsement no Dated
The following change in scope of licence has (have) been approved by the Bureau with effect from
Consequent upon the above changes, the revised scope of licence in Column (2) of the table in Schedule of the licence for the product set out in Column (1) of the Schedule is amended as follows:

Other conditions of the licence remain the same.

Signature of designated authority (Name of designated authority)

### **Annexure-III**

Our Ref: BO/CML-	Dated:
Subject: Providing information towards de of application for change in scope of licence	eficiencies in application - cum - Rejection notice e - reg.
M/s	
( Kind Attn. N Dear Madam(s)/Sir(s),	Name of CEO/MD )
Standard Mark on your product	rk licence No granted to you for use of under Scheme-X of Schedule-II of the BIS valid upto and your application dated for change in scope of licence.
2. We regret to inform you that it has not bee scope of licence application because of the fol	en found possible to further process your change in lowing:
(BO to give the reasons in this space, incl	uding deficiencies observed in the application)
documents within 21 days. Further, if no re	o submit the requisite information with necessary ply is received within 21 days or the information ejection notice towards your application for change
through a representative authorised by you in	ter or you would like to be heard either in person or this behalf, kindly do so within 21 days of issue of received from your end within the stipulated period, rejected without any further notice to you.
	Signature of designated authority (Name of designated authority)

### **Annexure-IV**

Our Ref: BO/CML- Date:
Subject: Rejection letter of application for change in scope of licence - reg.
M/s
( Kind Attn. Name of CEO/MD )
Dear Madam(s)/Sir(s),
This has reference to BIS certification mark licence No granted to you for use Standard Mark on your product
2. Kindly refer to our rejection notice Ref:
3. Your reply to our rejection notice was due by, but we have not receive any reply from you till date.
(or)  The reply received with your letter Ref:
(Reference to reply from manufacturer, its examination and consideration. Also, if any personal hearing is held, reference to the same needs to be indicated)
4. It has, therefore, been decided that the case relating to your above mentioned application for change in scope of licence be rejected. You may apply afresh with requisite fee as per opprocedure.
5. If you are aggrieved by the above order, you may prefer an appeal to the Director General Bureau of Indian Standards within ninety days from the date of the order with a fee of two thousand rupees as per provisions of section 34 of the BIS Act 2016 read along with Rule 37 the BIS Rules 2018.
Signature of designated authorit (Name of designated authorit

Annexure - V
Our Ref: ...... BO/CML- Date:
Subject: Notice for reduction in scope of licence - reg.

M/s

( Kind Attn. Name of CEO/MD )

Dear Madam(s)/Sir(s),

(BO to mention reasons)

3. In case you have anything to say in the matter or you would like to be heard either in person or through a representative authorised by you in this behalf, kindly do so within 30 days of issue of this notice.

Signature of designated authority (Name of designated authority)

### Annexure-VI

Our Ref: BO/CML- Date:
Subject : Reduction in scope of licence - reg.
M/s
( Kind Attn. Name of CEO/MD )
Dear Madam(s)/Sir(s),
This has reference to BIS certification mark licence No granted to you for use of Standard Mark on your product
2. Kindly refer to our reduction in scope of licence notice Ref:
(Reference to reply from manufacturer, its examination and consideration. Also, if any personal hearing is held, reference to the same needs to be indicated)
3. The revised endorsement for the reduction in scope of licence is enclosed herewith this letter.
4. If you are aggrieved by the above order, you may prefer an appeal to the Director General Bureau of Indian Standards within ninety days from the date of the order with a fee of two thousand rupees as per provisions of section 34 of the BIS Act 2016 read along with Rule 37 of the BIS Rules 2018.
Signature of designated authority (Name of designated authority)

### Annexure - VII

Attachment to Licence No. CM/L-....

CM/L-	Name of the Licensee with the Factory Address	Name of the Product	Specified requirements

	Endorsement No	Date	d		
Consequent to the c	change in the name of the licenses	e firm,	the factory addre	ess in the sche	dule of
the licence has bee	n changed to				
with effect from					
Other terms and cor	nditions of the licence remain the	same.			
				`designated au designated aut	-

### Annexure - VIII

CM/L-	Name of the Licensee with the Factory Address	Name of the Product	Specified requirements

Endorsement No Dated	
Consequent upon the revision/amendment of specified requirements, the scope of licence specified in the schedule of the licence stands revised as under with effect from	

Other terms and conditions of the licence remain the same.

Signature of designated authority (Name of designated authority)